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Recommended weight
1 = 0.5 kg
2 = 1 kg
3 = 2 kg
4 = 5 kg
5 = 10 kg
6 = 15 kg
7 = 20 kg
8 = 25 kg



Telefon: +41 71 231 31 31  
Fax: +41 71 231 32 32  
Internet: [www.sgkb.ch](http://www.sgkb.ch)

**Hauptsitz**

St.Galler Kantonalbank AG  
St. Leonhardstrasse 25  
Postfach  
9001 St. Gallen

**By Courier**

Epiq Bankruptcy Solutions, LLC  
Attn : Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017, USA

Date 11.08.2014  
u/Ref Sfca  
Phone-No. +41 71 231 34 13 / Anina Zürcher  
E-Mail [anina.zuercher@sgkb.ch](mailto:anina.zuercher@sgkb.ch)

**Claim Transfer**

Dear Sir or Madam,

We refer to your 'Notice of defective transfer' dated 07/21/14 regarding claim #59233-75.

Please find enclosed a copy of the form 'Evidence of Partial Transfer of Claim' (the original has already been sent to your address) and the corrected form 210A.

Please do not hesitate to contact me for any questions.

Thank you for your cooperation in advance.

Yours sincerely,

St.Galler Kantonalbank AG

Oriana Scheuss  
Mitglied des Kaders

Anina Zürcher  
Mitglied des Kaders



Form 210A (10/06)

## United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

St. Galler Kantonalbank AG	UBS AG
Name of Transferee	Name of Transferor
Name and Address where notices to transferee should be sent:	Court Claim # (if known): <u>59233</u>
St. Galler Kantonalbank AG St. Leonhardstrasse 25 9000 St. Gallen SWITZERLAND	Date Claim Filed: <u>10/30/2009</u>
Phone: <u>0041 71 231 34 13</u>	Amount of Claim: <u>USD 7'674'000</u>
Last Four Digits of Acct #: <u>8764</u>	Portion of Claim Transferred (see Schedule I): <u>USD 10'000.00</u>
Name and Address where transferee payments should be sent (if different from above):	Phone: <u>0041 44 236 07 54</u>
Phone: _____	Last Four Digits of Acct #: _____
Last Four Digits of Acct #: _____	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Oriana Scheuss Anina Zürcher  
Transferee/Transferee's Agent  
Oriana Scheuss

Date: 08/11/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

**St.Galler Kantonalbank AG**

COPY

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

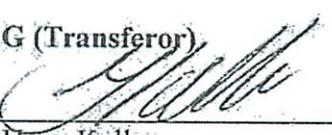
TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to St. Galler Kantonalbank AG ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS 06th day of June 2014.

UBS AG (Transferor)

By:   
Name: Hugo Koller  
Title: Director

By:   
Name: Matthias Mohos  
Title: Associate Director

COPY

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001 SWITZERLAND

Additional: UBS AG  
ATTN: HUGO KOLLER, OQ9C/05GC  
P.O. BOX  
ZURICH 8098 SWITZERLAND

Transferee: ST. GALLER KANTONALBANK AG  
ST. LEONHARDSTRASSE 25  
ST. GALLEN 9000 SWITZERLAND

Your transfer of claim # 59233-75 is defective for the reason(s) checked below:

Other

Verify Claim No.: 58233 or 59233

ocket Number 45321

Date 07/21/14

s/ Lauren Rodriguez

y: Epiq Bankruptcy Solutions, LLC  
s claims agent for the debtor(s).

OR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 23, 2014.